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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/027,471 | 12/21/2001 | Alex Apollonsky | 2659P016 |

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

ENTERED

JAN 29 2002

STATUS DB-LA

CONFIRMATION NO. 9953

FORMALITIES LETTER



OC00000007355674

Date Mailed: 01/24/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/05/2002 SSESHE1 00000014 10027471

01 FC:105

130.00-0P

*A copy of this notice **MUST** be returned with the reply.*

Sadi Ibrahim

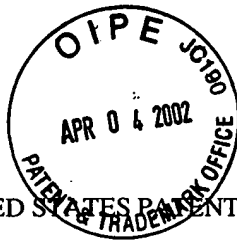
Customer Service Center

Initial Patent Examination Division (703) 308-1202

20020124 10027471

PART 3 - OFFICE COPY

10027471.040402



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Apollonsky et al.

Application No.: 10/027,471

Filed: December 21, 2001

For: A Contextual Relevance Engine and
Knowledge Delivery System

Examiner: Not yet assigned

Art Unit: 2171

FIRST CLASS CERTIFICATE OF MAILING
(37 C.F.R. § 1.8 (a))

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail with postage in
advance addressed to the Assistant Commissioner for Patents,
Washington, DC 20231.

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

March 25 2002
Date of Deposit
Krista Matheson
Name of Person Mailing Correspondence
Krista Matheson 3/25/02
Signature Date

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed January 24, 2002,
please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent
application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) substitute drawings in compliance with 37 CFR 1.84; and
- (4) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response
is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY/SOKOLOFF/TAYLOR & ZAFMAN LLP

Michael DeSanctis
Reg. No. 39,957

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025-1026
(303) 740-1980

Date:

3/22/02



PTO/SB/17(09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2002**TOTAL AMOUNT OF PAYMENT (\$)** \$ 130.00**Complete if Known:**

Application No. 10/027,471
Filing Date December 21, 2001
First Named Inventor Apollonsky et al.
Group Art Unit 2171
Examiner Name Not yet assigned
Attorney Docket No. 002659.P016

METHOD OF PAYMENT (check one)

1. ☒ **The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:**

Deposit Account Number 02-2666
Deposit Account Name _____

- ☒ **Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17** (37 C.F.R. § 1.8 (a))

2. ☒ **Payment Enclosed:**
☒ Check
_____ Money Order
_____ Other

I hereby certify that this correspondence is being sent by the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner, Patent and Trademark Office, Washington, DC 20231.

March 25, 2002
Date of Deposit

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|----------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 101 | 740 | 201 | 370 | Utility application filing fee | _____ |
| 106 | 330 | 206 | 165 | Design application filing fee | _____ |
| 107 | 510 | 207 | 255 | Plant filing fee | _____ |
| 108 | 740 | 208 | 370 | Reissue filing fee | _____ |
| 114 | 160 | 214 | 80 | Provisional application filing fee | _____ |

SUBTOTAL (1) \$ _____**2. EXTRA CLAIM FEES**

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|-------|----------------|----------------|----------|
| Total Claims | _____ | - 20** = _____ | X _____ | = _____ |
| Independent Claims | _____ | - 3** = _____ | X _____ | = _____ |
| Multiple Dependent | _____ | | _____ | = _____ |

****Or number previously paid, if greater; For Reissues, see below.**

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Code | Fee (\$) | Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ _____

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | <u>\$130.00</u> |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | _____ |
| 139 | 130 | 139 | 130 | Non-English specification | _____ |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex-parte reexamination | _____ |
| 099 | 8,800 | 099 | 8,800 | Request for inter partes reexamination | _____ |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | _____ |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | _____ |
| 115 | 110 | 215 | 55 | Extension for response within first month | _____ |
| 116 | 400 | 216 | 200 | Extension for response within second month | _____ |
| 117 | 920 | 217 | 460 | Extension for response within third month | _____ |
| 118 | 1,440 | 218 | 720 | Extension for response within fourth month | _____ |
| 128 | 1,960 | 228 | 980 | Extension for response within fifth month | _____ |
| 119 | 320 | 219 | 160 | Notice of Appeal | _____ |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | _____ |
| 121 | 280 | 221 | 140 | Request for oral hearing | _____ |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | _____ |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee | _____ |
| 141 | 1,280 | 241 | 640 | Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee | _____ |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | _____ |
| 143 | 460 | 243 | 230 | Design issue fee | _____ |
| 144 | 620 | 244 | 310 | Plant issue fee | _____ |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | _____ |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | _____ |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | _____ |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | _____ |
| 146 | 740 | 246 | 370 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | _____ |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (see 37 CFR 1.129(b)) | _____ |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | _____ |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | _____ |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Michael A. DeSanctisSignature: Date: 3/22/02Reg. Number: 39,957Telephone Number: 303-740-1980

COPY OF PAPERS
ORIGINALLY FILED

Attorney's Docket No. 002659.P016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Apollonsky et al.

Application No.: 10/027,471

Filed: December 21, 2001

For: A Contextual Relevance Engine
Knowledge Delivery System

Examiner: Not Yet Assigned

Art Unit: 2171

RECEIVED

MAY 01 2002

Technology Center 2100

FIRST CLASS CERTIFICATE OF MAILING
(39 C.F.R. § 1.8 (a))

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents,
Washington, DC 20231.

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

March 25, 2002
Date of Deposit
Krista Matheson
Name of Person Mailing Correspondence
Krista Matheson 3/25/02
Signature Date

Applicants enclose herewith six (6) sheets of formal drawings and request that the same be made of record in this application as a substitute for the drawings filed with the application on December 21, 2001.

The Assistant Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 02-2666. A duplicate copy of this communication is enclosed for that purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3/22/02

Michael A. DeSanctis
Reg. No. 39,957

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(303) 740-1980

Attorney Docket No.: 002659.P016
Application No.: 10/027,741